# Implications of Earthquakes on the Stability of Tailings Dams

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### **Abstract**

Analyses of earthquakes show the need of detailed assessment of the vulnerability different industry sectors. As part of this assessment implication of earthquakes for the mining industry and especially the stability of the tailings ponds was investigated. Based on the serious dam failures a decision tree was developed to categorize the loss scenarios. To test the decision tree and to understand the impact of a tailings dam failure a 3D model for a hypothetical scenario was built for East Germany. The model clearly showed the local and regional impact on the environment.

Key words: Earthquakes, Tailings, Insurances, Dam Stability, Loss scenario, Germany, Decision tree

#### Introduction

Assessments for insurance losses from earthquake catastrophes showed in recent decades the need for a more detailed assessment of vulnerability to earthquakes. General analyses of earthquakes often consider only the vulnerabilities of industries and not the surface installations of mines and so special attention must be given especially to tailings ponds and tailings dams, for example. This is because in a loss-scenario the damage caused by a collapse of a tailings dam can be very serious and include wide ecological and economic losses. Prominent examples are the collapse of tailings dams in Aznalcollar, Spain and Baia Mare, Romania and the resulting contamination with tailings water and sludge. Therefore, it is necessary to understand precisely the earthquake exposure of such tailings facilities.

**Table 1** Summary of important loss scenarios of tailing failures caused by earthquakes.

Date	Location	Magnitude	Description	Reference
10.	Chile	8.3 (?)	- Flood wave of 2,800,000 m <sup>3</sup> tailing material	ICOLD
Jan.			- 500 m wide gap in the 61 m high dam	2001
1928			- 54 fatalities	
			- Cause: Liquefaction	
28.	El Cobre,	$m_{\rm L} = 7.1$	- Collapse of two dams of El Cobre copper mine	Idriss
Mar.	Chile		- Two flood waves of 350,000 m <sup>3</sup> (new dam) and of 1,900,000	2003
1965			m <sup>3</sup> (old dam)	NEIC
			- Downstream flow of 12 km	2008
			- The town of El Cobre was destroyed	
			- More than 200 fatalities	
19.	Chungar	$m_{\rm L} = 4.8$	- Landslide causes the break of a tailing dam	SZ 22.
Mar.	Peru		- Tailing mud destroyed the surface facilities of the mine and	Mar. 1971
1971			flew into the shafts	NEIC
			- Only 25 miners survived	2007
17.	North-	$m_{\rm L} = 6.7$	- Collapse of the 24 m high dam of the Tapo Canyon Tailing	Harder &
Jan.	ridge,		- 60 m wide breach	Stewart
1994	USA		- Downstream flow of extended hundred meters in a canyon	1996
			- Considerable losses for the owner and a downstream water	NEIC
			treatment facility	2008
Apr.	Philip-	$m_{\rm b} = 6.2$	- Collapse of a dam of the Surigao del Norte gold mine	BRGM
1995	pines		- Several earthquakes damaged the internal dam structure	2000
			- Crest of the dam was a road	NEIC
			- Soaking of internal structure of the dam with infiltrating	2008
			liquids (water)	
			- Collapse of the dam with a time lag	

### Methods

A research programme was carried out to collect data on global loss-scenarios where tectonic earthquakes triggered the collapse of a tailings dam (Tab. 1).

The results showed that it was possible to categorise and classify the reasons for and the consequences of collapses of tailings dams. The main surface-loss scenarios are fractures and breaches, liquefaction, slumping and spilling. The subsurface operations could also be affected by flooding of the mine or the destruction of facilities needed for the subsurface operations. Overall these research results, together with the dependency between the design of the tailings dam and the loss-scenarios, are used firstly to develop a decision-tree to assess vulnerability, as well as ecological and economic consequences of collapses (Fig. 1).

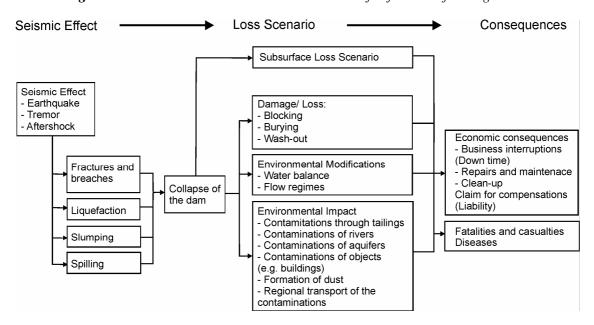


Figure 1 Decision tree to assess the loss scenarios for failures of tailing dams.

Furthermore, this knowledge was used to assess the exposure of tailings dams from mines in former East Germany. The earthquake activity in that region was utilised to model a hypothetical case of a tailings dam collapse (Fig. 2). A special exposure exists of mapable fault systems in that area which could be activated (Fig. 2B).

The resulting 3-D model clearly showed the impact of such loss-scenarios and highlighted possible problems of the migrating water and mud wave in a densely populated area (Fig. 3A – Fig. 3D).

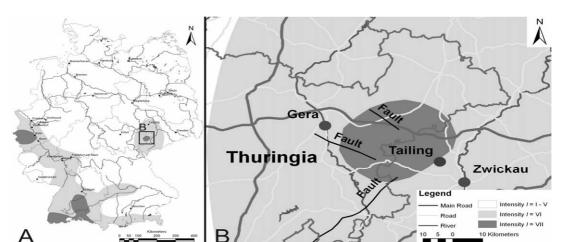
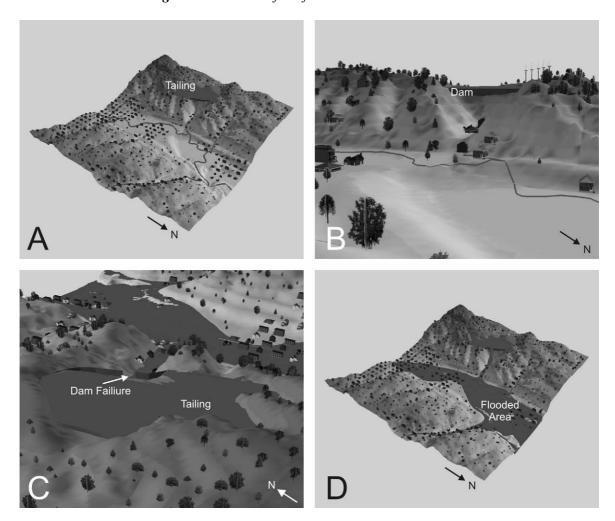


Figure 2 Earthquake intensities for Germany (A) and for the area of the hypothetical case of the dam collapse (B) (Munich Re 2000, BGR 2004).

Figure 3 Extension of the flood wave in the 3D model.



# **Conclusions**

In summary, the exposure of German tailings facilities to earthquakes is low, especially because of the effective standards and regulations which are used to operate them. However, a collapse of a tailings dam could lead to a wide range of loss-scenarios. Overall, the main earthquake-triggered collapses of dams are breaches and liquefactions. These loss-scenarios could lead to severe local and regional environmental damage and contamination, also leading to high economic losses with high costs for down time and compensation.

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